

12/24/98



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U.S. PTO

A  
PATENT

Docket No. 6029-7996

Date December 24, 1998

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this December 24, 1998 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL117316894US addressed to: Box Patent Application, Assistant Commissioner of Patents, Washington, D.C. 20231.

Amy Bresnahan  
(Type name of person mailing paper)

Amy Bresnahan  
(Signature of person mailing paper)

NOTE: Each paper or fee referred to as enclosed herein has the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 CFR 1.10(b).

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Box Patent Application  
Assistant Commissioner of Patents  
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Jeffrey D. Milbrandt and Robert H. Baloh

For : Artemin, A Novel Neurotrophic Factor

Enclosed are:

**1. Benefit of Prior U.S. Application (35 USC 120)**

The new application being transmitted claims the benefit of a prior U.S. application and enclosed is added page for new application transmittal where benefit of a prior U.S. application claimed.

**2. The Papers Required For Filing Under 37 CFR 1.53:**

64 Pages of Specification  
1 Pages of Abstract  
6 Pages of Claims  
22 Sheets of Drawing

formal  informal

In addition to the above papers there is also attached:

Pages of an Amendment  
 Return Receipt Postcard  
 Information Disclosure Statement with        copies of references.

JC551 U.S. PTO  
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### 3. Declaration or oath

Enclosed        pages  
 Newly executed (original or copy)  
 Copy from a prior application (continuation/divisional with page 5 of 5 completed)  
 Deletion of Inventor(s) (signed statement attached deleting inventor(s) of prior application)  
  
 Not enclosed

### 4. Inventorship Statement

The inventorship for all the claims in this application are:

the same

**OR**

are not the same and an explanation, including the ownership of the various claims at the time the last claimed invention was made, is submitted.

### 5. Language

English                            Non-English

A verified English translation of the

[check applicable item(s)]

specification and claims

declaration

is attached.

### 6. Assignment

An assignment of the invention to  
 is filed under separate cover sheet  
 was filed in the prior application  
 will follow

### 7. Certified Copy

(Country) from which priority is claimed	(Application No.)	(Filed)
<input type="checkbox"/> is attached		
<input type="checkbox"/> will follow		

8. Fee Calculation

CLAIMS AS FILED

	Number Filed	Provided with Basic Fee	Number Extra	Rate	Basic Fee \$760
Total Claims	16	20	0	X \$18.00	\$ .00
Independent Claims	3	3	0	X \$78.00	\$ .00
Multiple Dependent Claim(s), if any	0	0	0	X \$260.00	\$ .00

Amend application by canceling claims 2 through 10, 13, 14 and 28 through 38 before calculating the filing fee.

Amendment deleting multiple dependencies enclosed

Fee for extra claims is not being paid at this time

Filing Fee Calculation \$ 760.00

9. Small Entity Statement

verified statement that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is attached.

Filing Fee Calculation (50% of above)

10. Fee Payment Being Made At This Time

Enclosed

basic filing fee \$ 760.00

Total fees enclosed \$ 760.00

11. Method of Payment of Fees

check in the amount of \$ 760.00

**12. Authorization to Charge Additional Fees**

X The Commissioner is hereby authorized to charge the following additional fees which may be required to Account No. 18-1829;

X 37 CFR 1.16 (filing fees and presentation of extra claims)

X 37 CFR 1.17 (application processing fees)

— 37 CFR 1.18 (issue fee at or before Mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b)).

**13. Instructions As To Overpayment**

X credit Account No. 18-1829

**14. Correspondence Address**

  
Donald R. Holland  
Reg. No. 35,197  
HOWELL & HAVERKAMP, L.C.  
7733 Forsyth Boulevard  
Suite 1400  
St. Louis, Missouri 63105  
(314) 727-5188

**ADDED PAGE FOR NEW APPLICATION WHERE BENEFIT  
OF A PRIOR U.S. APPLICATION CLAIMED**

**15. Benefits of Prior U.S. Application**

This application is a

continuation

continuation-in-part

divisional

of prior U.S. application entitled "Artemin, A Novel Neurotrophic Factor", Serial No. Unknown, filed December 22, 1998, Express Mail #EL244719595US, Inventors Jeffrey D. Milbrandt and Robert H. Baloh

International Application    filed

Incorporation by Reference  
The entire disclosure of the prior application is considered part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

**16. Maintenance of Copendency of Prior Application**

A petition, fee and response has been filed to extend the term in the pending prior application until

**17. Conditional Petition for Extension of Time in Parent Application**

A conditional petition for extension of time is being filed in the pending parent application.

**18. Relate Back -- 35 U.S.C. 120**

Amend the specification by inserting before the first line the sentence:

This is a

continuation

continuation-in-part

divisional

provisional

of copending application

Serial No.                   , filed

PCT International Application   ; filed on

**19. Abandonment of Prior Application (if applicable)**

Please abandon the prior application at a time while the prior application is pending or when the petition for extension of time in that application is granted and when this application is granted a filing date so as to make this application copending with said prior application.